



# Cylindrical Manifolds and Tube Dynamics in the Restricted Three-Body Problem

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# Acknowledgements

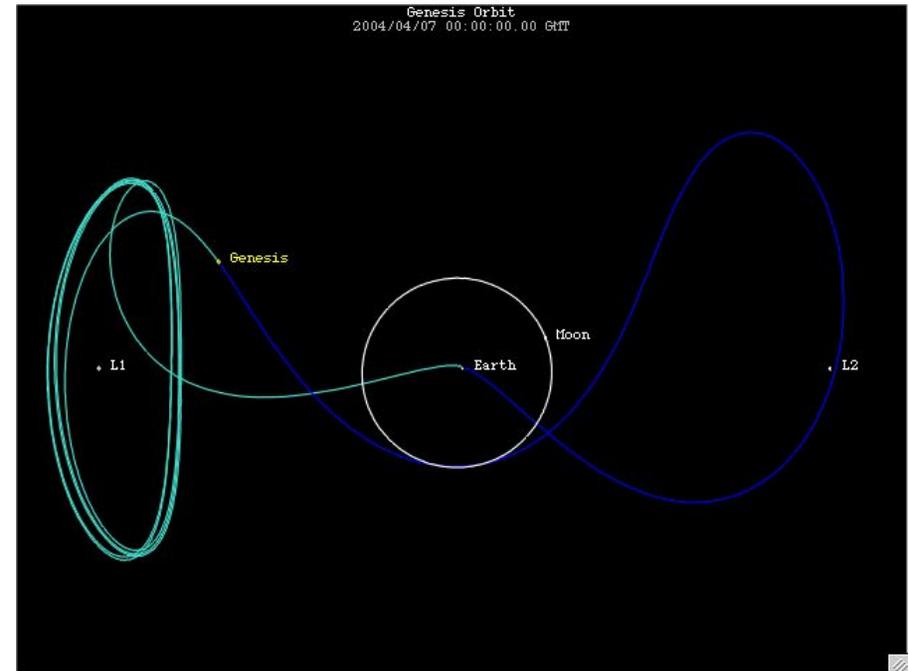
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- CDS staff & students
- JPL's Navigation & Mission Design section

# Motivation

- *Low energy spacecraft trajectories*
- **Genesis** has collected solar wind samples at the Sun-Earth L1 and will return them to Earth this September.
- First mission designed using dynamical systems theory.



Genesis Spacecraft



Where Genesis Is Today

# Motivation

- Low energy transfer to the Moon

# Outline of Talk

## ■ *Introduction and Background*

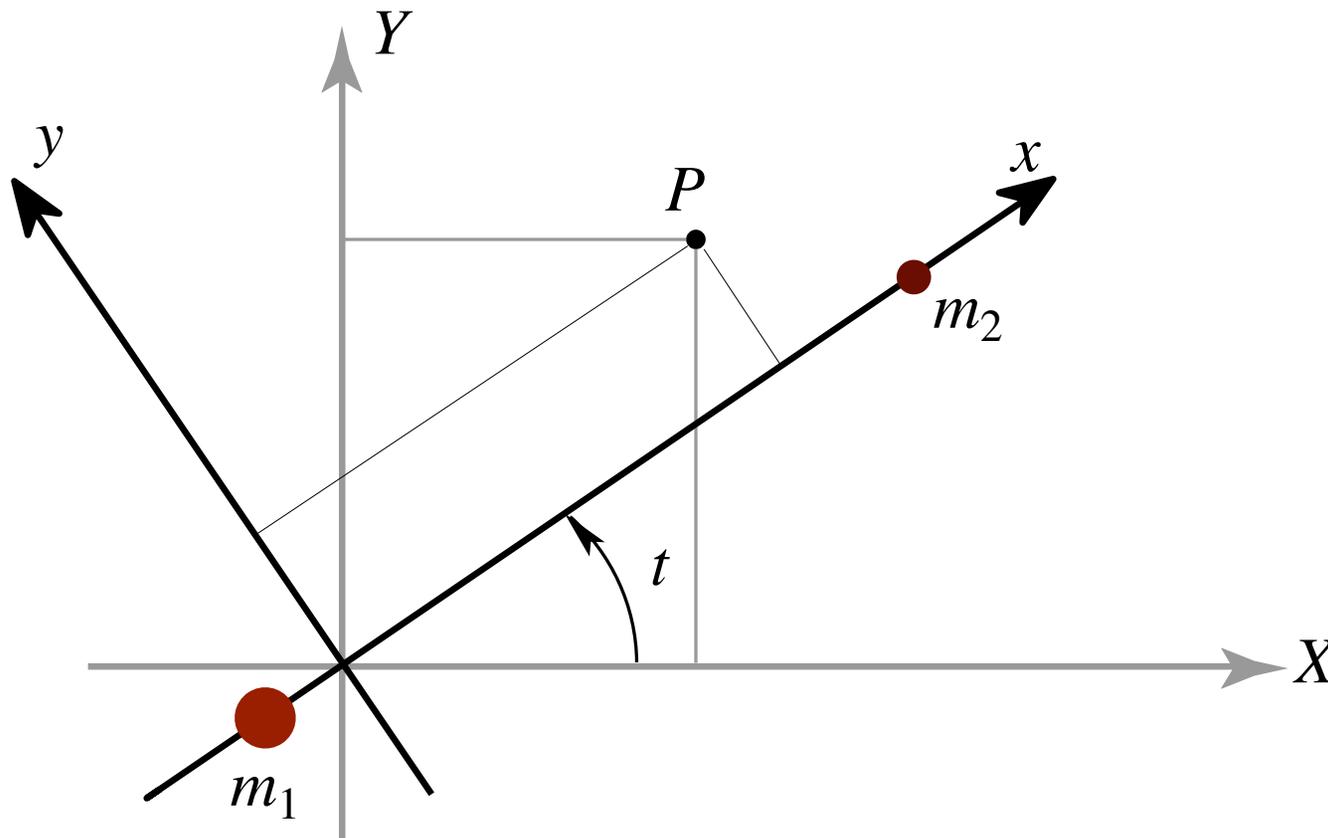
- Planar circular restricted three-body problem
- Motion near the collinear equilibria

## ■ *My Contribution*

- Construction of trajectories with prescribed itineraries
- Trajectories in the four-body problem
  - patching two three-body trajectories
  - e.g., low energy transfer to the Moon
- Current and Ongoing Work
- Summary and Conclusions

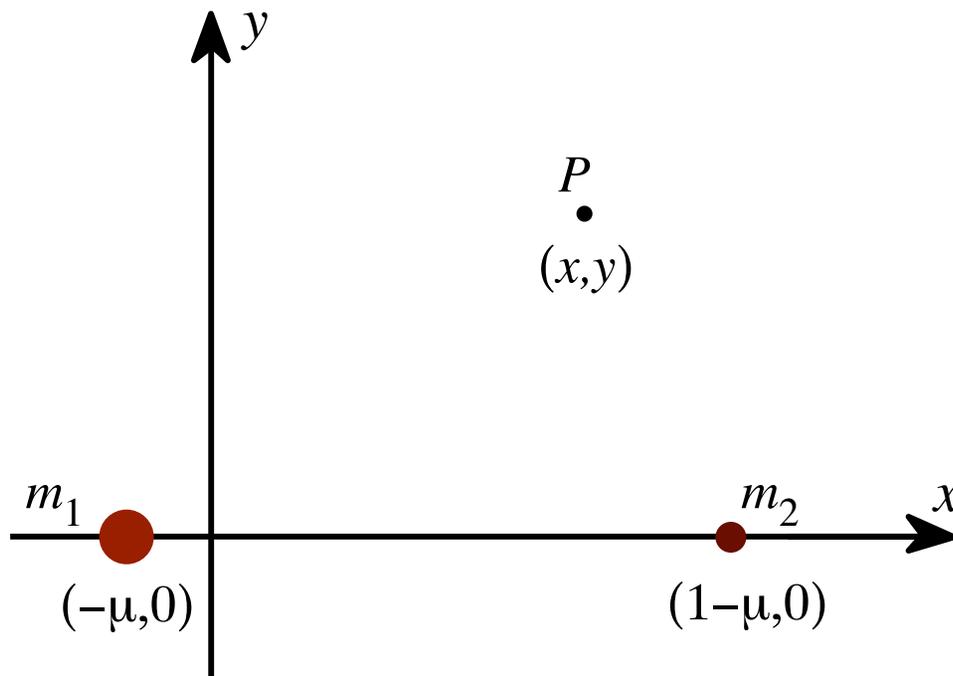
# Three-Body Problem

- Planar circular restricted three-body problem
  - $P$  in field of two bodies,  $m_1$  and  $m_2$
  - $x$ - $y$  frame rotates w.r.t.  $X$ - $Y$  inertial frame

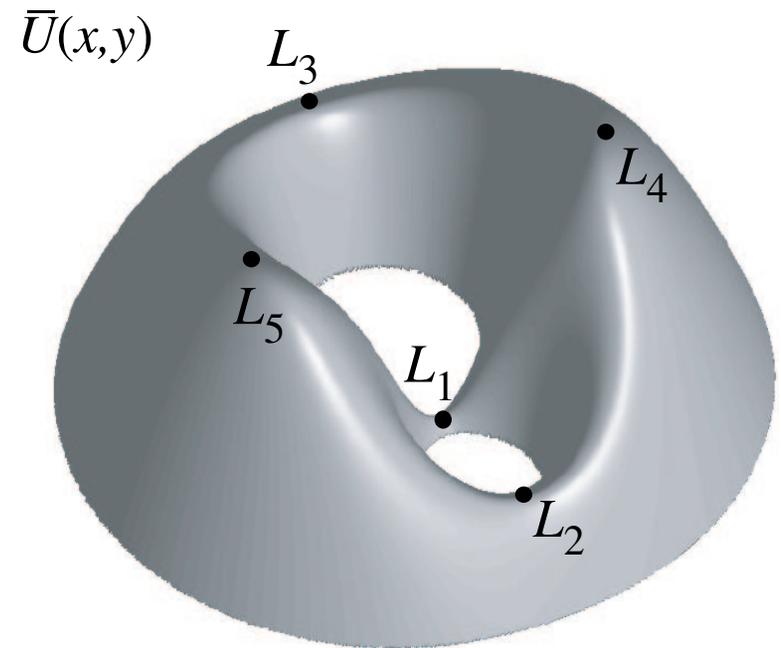


# Three-Body Problem

- Equations of motion describe  $P$  moving in an effective potential plus a coriolis force



Position Space



Effective Potential

# Hamiltonian System

□ Hamiltonian function

$$H(x, y, p_x, p_y) = \frac{1}{2}((p_x + y)^2 + (p_y - x)^2) + \bar{U}(x, y),$$

where  $p_x$  and  $p_y$  are the conjugate momenta,

$$p_x = \dot{x} - y = v_x - y,$$

$$p_y = \dot{y} + x = v_y + x,$$

and

$$\bar{U}(x, y) = -\frac{1}{2}(x^2 + y^2) - \frac{1 - \mu}{r_1} - \frac{\mu}{r_2}$$

where  $r_1$  and  $r_2$  are the distances of  $P$  from  $m_1$  and  $m_2$   
and

$$\mu = \frac{m_2}{m_1 + m_2} \in (0, 0.5].$$

# Equations of Motion

- Point in phase space:  $q = (x, y, v_x, v_y) \in \mathbb{R}^4$
- Equations of motion,  $\dot{q} = f(q)$ , can be written as

$$\dot{x} = v_x,$$

$$\dot{y} = v_y,$$

$$\dot{v}_x = 2v_y - \frac{\partial \bar{U}}{\partial x},$$

$$\dot{v}_y = -2v_x - \frac{\partial \bar{U}}{\partial y},$$

conserving an energy integral,

$$E(x, y, \dot{x}, \dot{y}) = \frac{1}{2}(\dot{x}^2 + \dot{y}^2) + \bar{U}(x, y).$$

# Motion in Energy Surface

- Fix parameter  $\mu$
- Energy surface for energy  $e$  is

$$\mathcal{M}(\mu, e) = \{(x, y, \dot{x}, \dot{y}) \mid E(x, y, \dot{x}, \dot{y}) = e\}.$$

For a fixed  $\mu$  and energy  $e$ , one can consider the surface  $\mathcal{M}(\mu, e)$  as a three-dimensional surface embedded in the four-dimensional phase space.

- Projection of  $\mathcal{M}(\mu, e)$  onto position space,

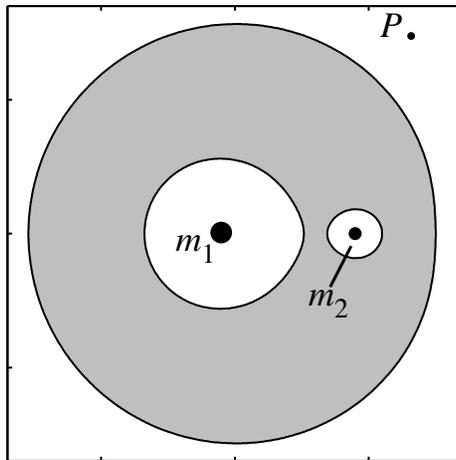
$$M(\mu, e) = \{(x, y) \mid \bar{U}(x, y; \mu) \leq e\},$$

is the region of possible motion (Hill's region).

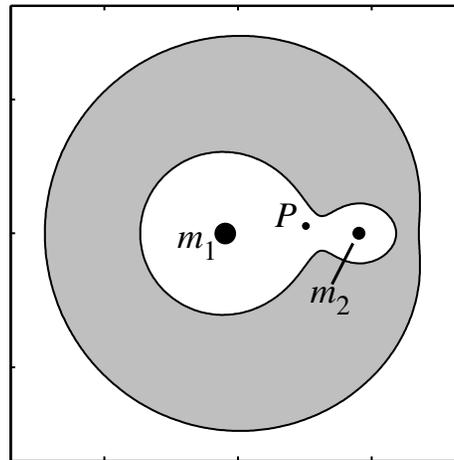
- Boundary of  $M(\mu, e)$  places bounds on particle motion.

# Realms of Possible Motion

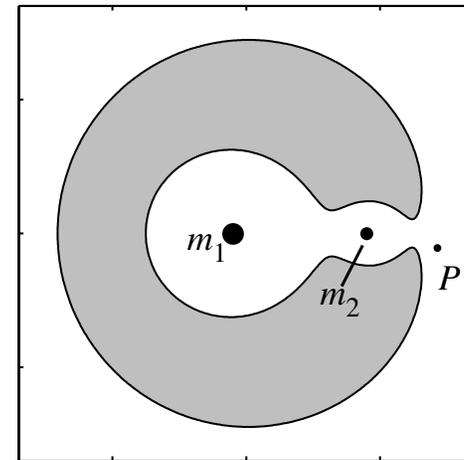
□ For fixed  $\mu$ ,  $e$  gives the connectivity of three **realms**



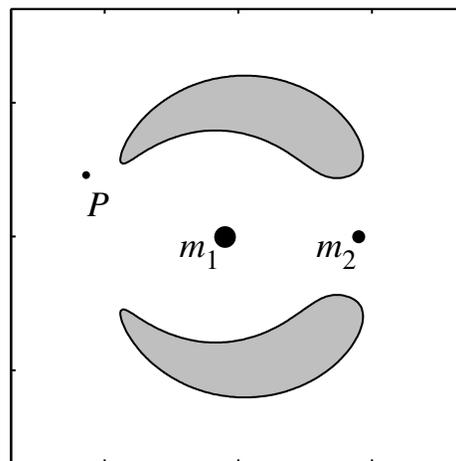
Case 1 :  $E < E_1$



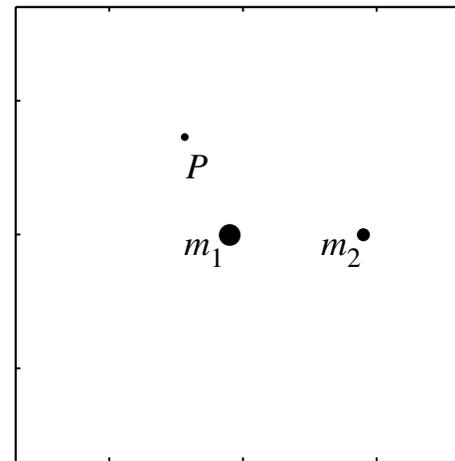
Case 2 :  $E_1 < E < E_2$



Case 3 :  $E_2 < E < E_3$



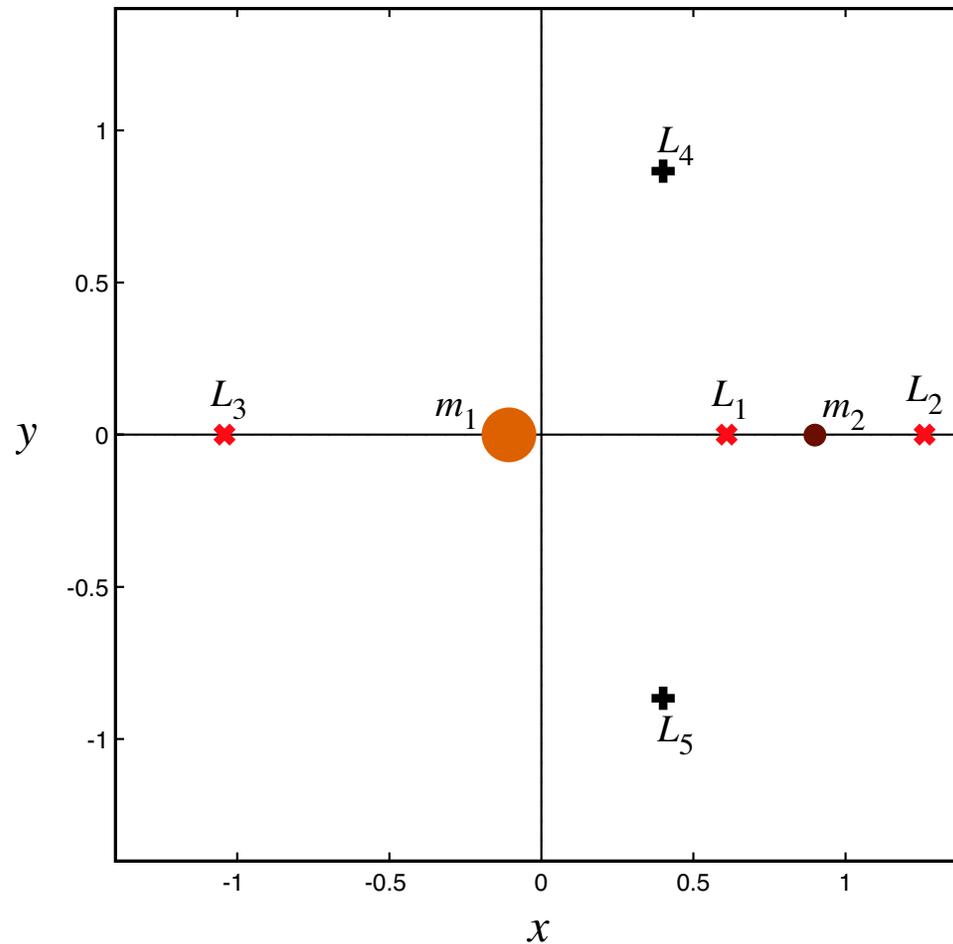
Case 4 :  $E_3 < E < E_4$



Case 5 :  $E > E_4$

# Realms of Possible Motion

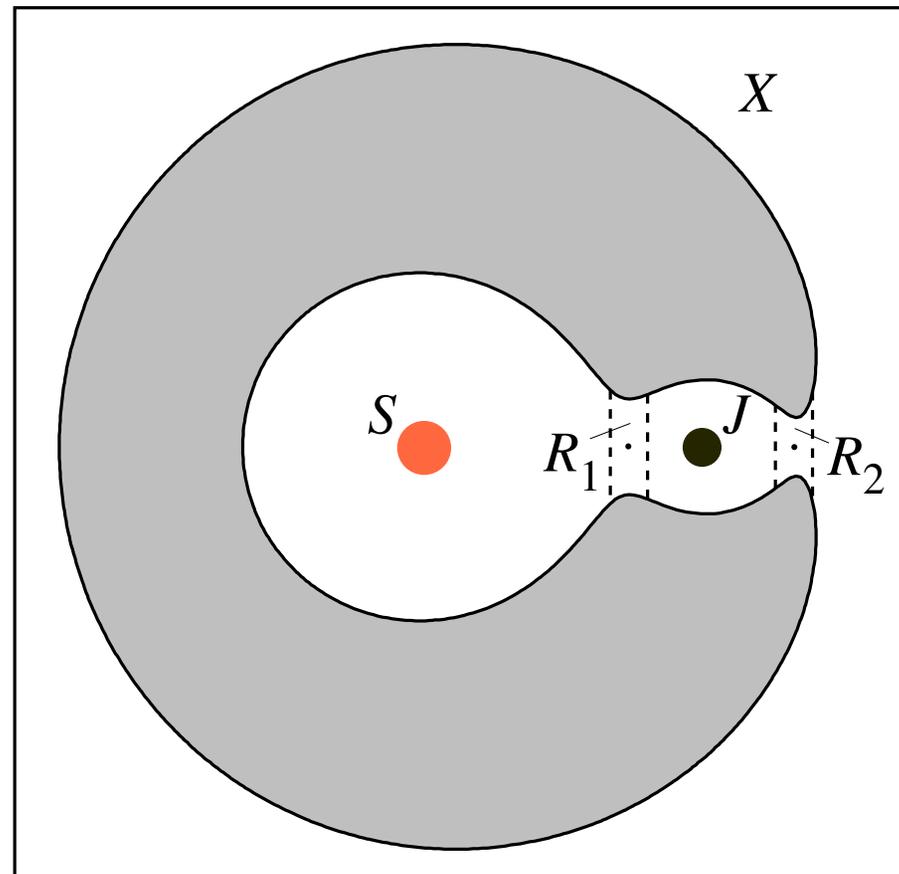
□ Neck regions related to collinear unstable equilibria,  $x$ 's



The location of all the equilibria for  $\mu = 0.3$

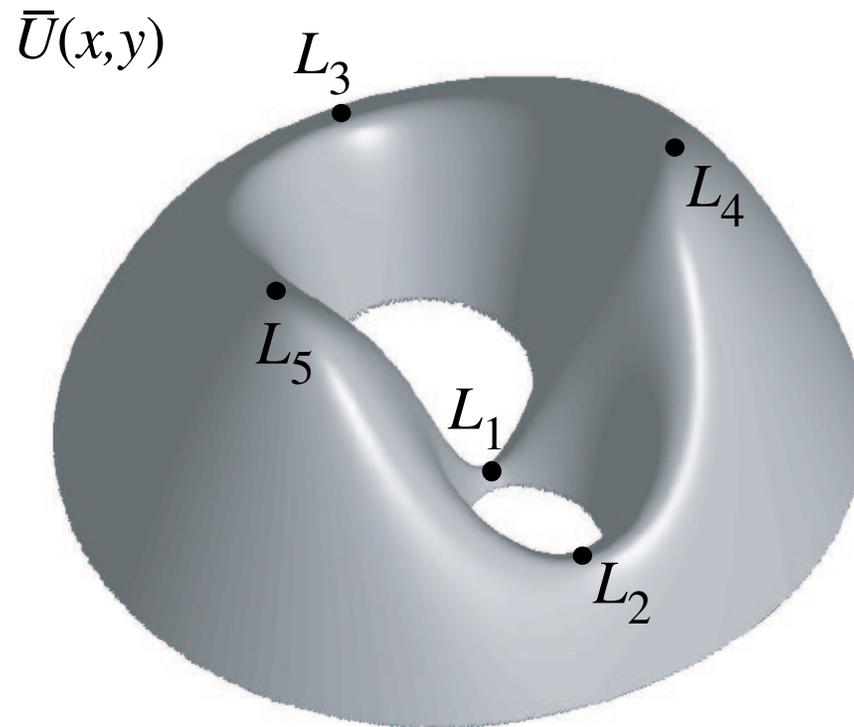
# Realms of Possible Motion

- Energy Case 3: For  $m_1 = \text{Sun}$ ,  $m_2 = \text{Jupiter}$ , we divide the Hill's region into five sets; three realms,  $S$ ,  $J$ ,  $X$ , and two equilibrium neck regions,  $R_1$ ,  $R_2$



# Equilibrium Points

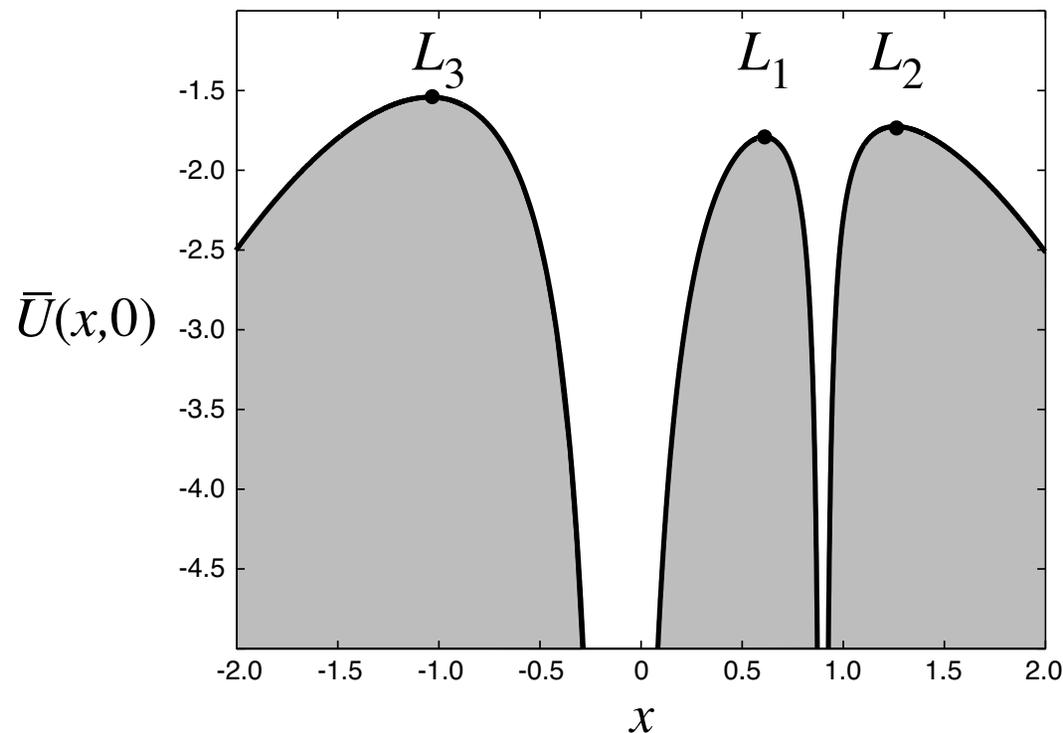
- Find  $\bar{q} = (\bar{x}, \bar{y}, \bar{v}_x, \bar{v}_y)$  s.t.  $\dot{\bar{q}} = f(\bar{q}) = 0$
- Have form  $(\bar{x}, \bar{y}, 0, 0)$  where  $(\bar{x}, \bar{y})$  are critical points of  $\bar{U}(x, y)$ , i.e.,  $\bar{U}_x = \bar{U}_y = 0$ , where  $\bar{U}_a \equiv \frac{\partial \bar{U}}{\partial a}$



Critical Points of  $\bar{U}(x, y)$

# Equilibrium Points

- Consider  $x$ -axis solutions; the collinear equilibria
- $\bar{U}_x = \bar{U}_y = 0 \Rightarrow$  polynomial in  $x$
- depends on parameter  $\mu$



The graph of  $\bar{U}(x, 0)$  for  $\mu = 0.1$

# Equilibrium Regions

- *Phase space near equilibrium points*
- Consider the equilibrium  $\bar{q} = L$  (either  $L_1$  or  $L_2$ )
- Eigenvalues of linearized equations about  $L$  are  $\pm\lambda$  and  $\pm i\nu$  with corresponding eigenvectors  $u_1, u_2, w_1, w_2$
- Equilibrium region has a saddle  $\times$  center geometry

# Equilibrium Regions

## ■ *Eigenvectors Define Coordinate Frame*

- Let the eigenvectors  $u_1, u_2, w_1, w_2$  be the coordinate axes with corresponding new coordinates  $(\xi, \eta, \zeta_1, \zeta_2)$ . The differential equations assume the simple form

$$\begin{aligned}\dot{\xi} &= \lambda\xi, & \dot{\eta} &= -\lambda\eta, \\ \dot{\zeta}_1 &= \nu\zeta_2, & \dot{\zeta}_2 &= -\nu\zeta_1,\end{aligned}$$

and the energy function becomes

$$E_l = \lambda\xi\eta + \frac{\nu}{2} (\zeta_1^2 + \zeta_2^2).$$

- Two additional integrals:  $\xi\eta$  and  $\rho \equiv |\zeta|^2 = \zeta_1^2 + \zeta_2^2$ , where  $\zeta = \zeta_1 + i\zeta_2$

# Equilibrium Regions

- For positive  $\varepsilon$  and  $c$ , the region  $\mathcal{R}$  (either  $\mathcal{R}_1$  or  $\mathcal{R}_2$ ), is determined by

$$E_l = \varepsilon, \quad \text{and} \quad |\eta - \xi| \leq c,$$

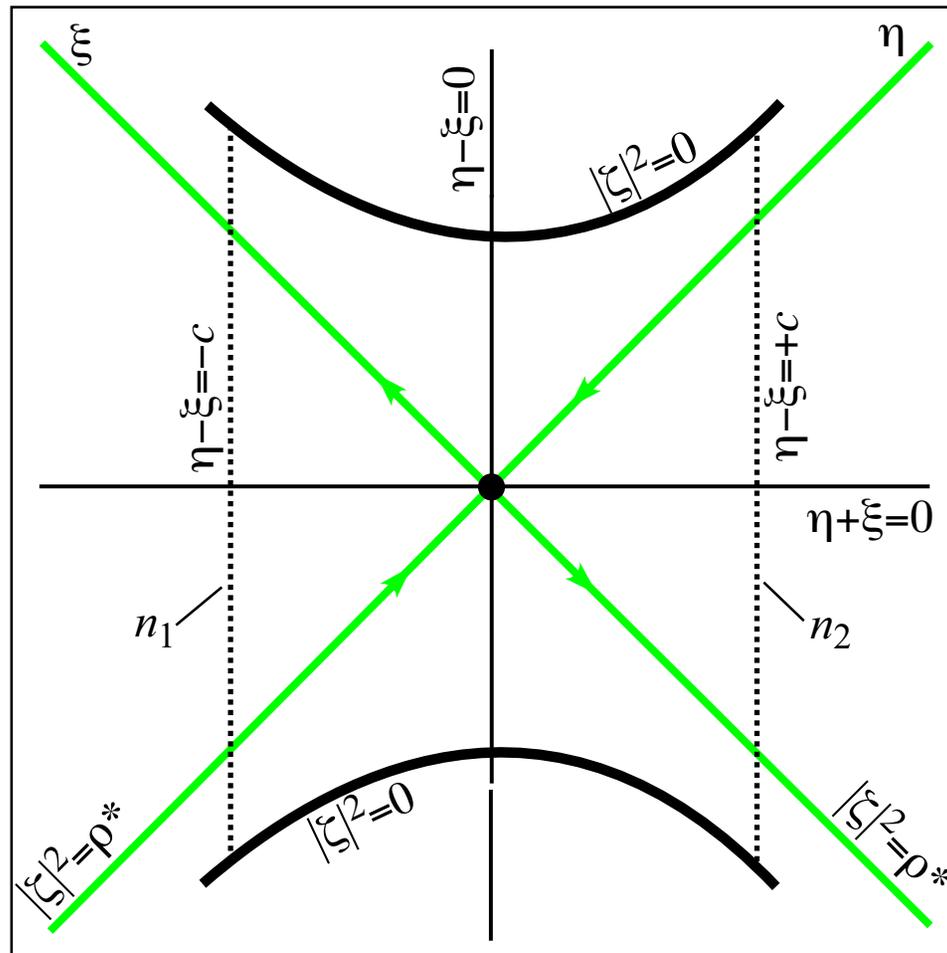
is homeomorphic to  $S^2 \times I$ ; namely, for each fixed value of  $(\eta - \xi)$  on the interval  $I = [-c, c]$ , the equation  $E_l = \varepsilon$  determines the two-sphere

$$\frac{\lambda}{4}(\eta + \xi)^2 + \frac{\nu}{2}(\zeta_1^2 + \zeta_2^2) = \varepsilon + \frac{\lambda}{4}(\eta - \xi)^2,$$

in the variables  $((\eta + \xi), \zeta_1, \zeta_2)$ .

# Bounding Spheres of $\mathcal{R}$

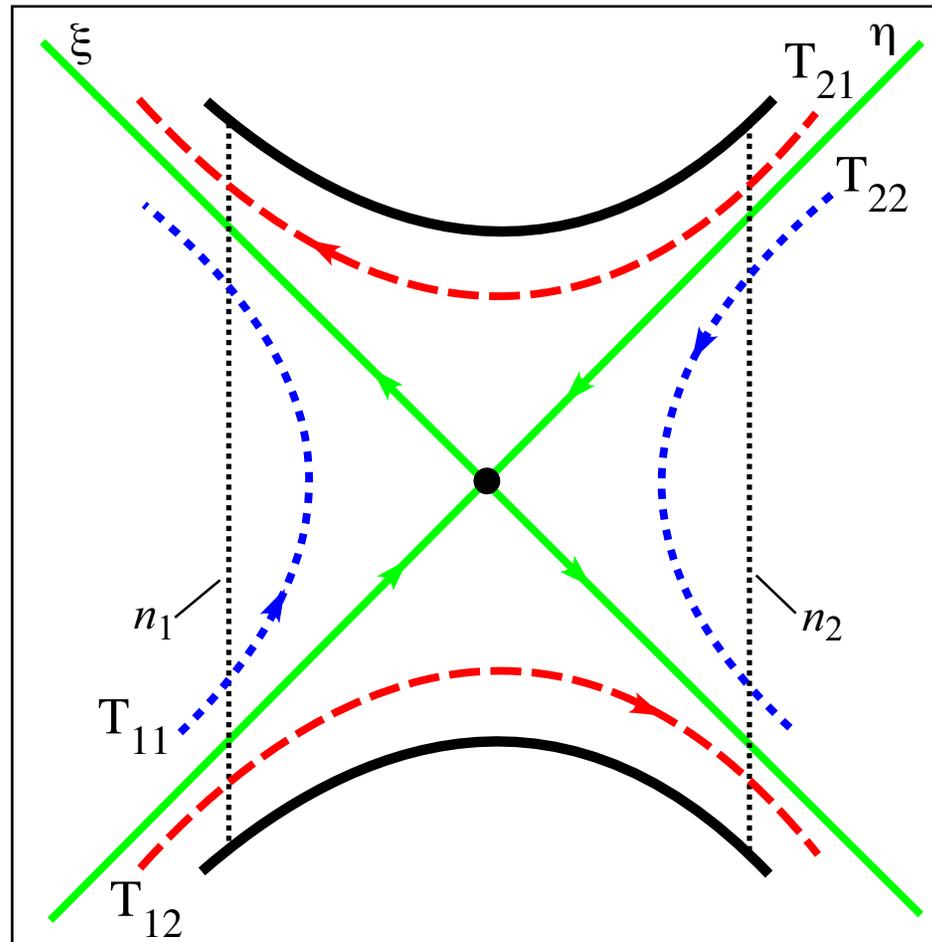
- $n_1$ , the left side ( $\eta - \xi = -c$ )
- $n_2$ , the right side ( $\eta - \xi = c$ )



The projection of the flow onto the  $\eta$ - $\xi$  plane

# Transit & Non-transit Orbits

- There are **transit** orbits,  $T_{12}, T_{21}$  and **non-transit** orbits,  $T_{11}, T_{22}$ , separated by **asymptotic** sets to a p.o.



Transit, non-transit, and asymptotic orbits projected onto the  $\eta$ - $\xi$  plane

# Twisting of Orbits

- We compute that

$$\frac{d}{dt} \arg \zeta = -\nu,$$

i.e., orbits “twist” while in  $\mathcal{R}$  in proportion to the time  $T$  spent in  $\mathcal{R}$ , where

$$T = \frac{1}{\lambda} \left( \ln \frac{2\lambda(\eta^0)^2}{\nu} - \ln(\rho^* - \rho) \right),$$

where  $\eta^0$  is the initial condition on the bounding sphere and  $\rho = \rho^* = 2\varepsilon/\nu$  only for the asymptotic orbits.

- Amount of twisting depends sensitively on how close an orbit comes to the cylinders of asymptotic orbits, i.e., depends on  $(\rho^* - \rho) > 0$ .

# Orbits in Position Space

## ■ *Appearance of orbits in position space*

□ The general (real) solution has the form

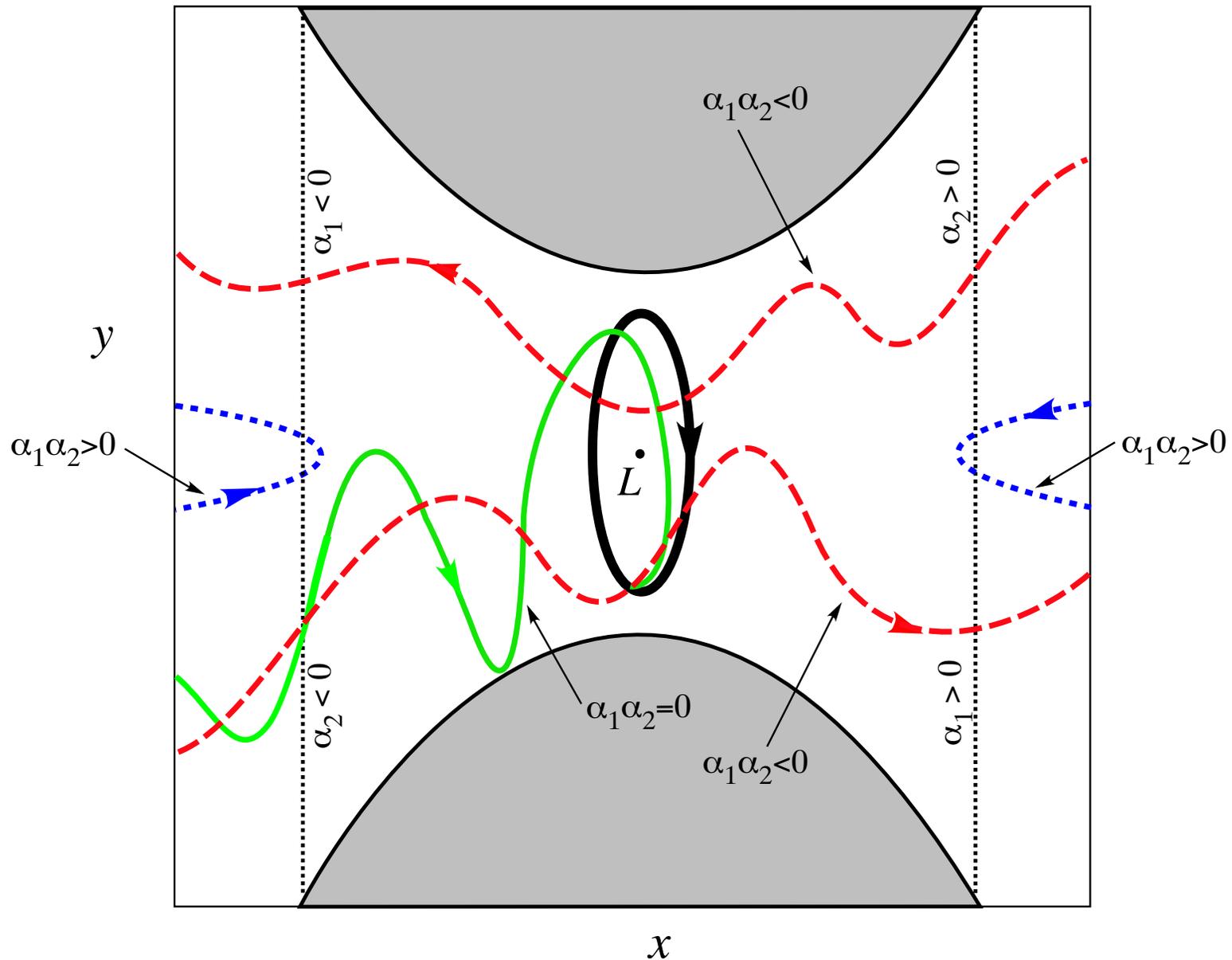
$$\begin{aligned}u(t) &= (x(t), y(t), v_x(t), v_y(t)), \\ &= \alpha_1 e^{\lambda t} u_1 + \alpha_2 e^{-\lambda t} u_2 + 2\operatorname{Re}(\beta e^{i\nu t} w_1),\end{aligned}$$

where  $\alpha_1, \alpha_2$  are real and  $\beta = \beta_1 + i\beta_2$  is complex.

□ Four categories of orbits, depending on the signs of  $\alpha_1$  and  $\alpha_2$ .

□ By a theorem of Moser [1958], all the qualitative results carry over to the nonlinear system.

# Orbits in Position Space



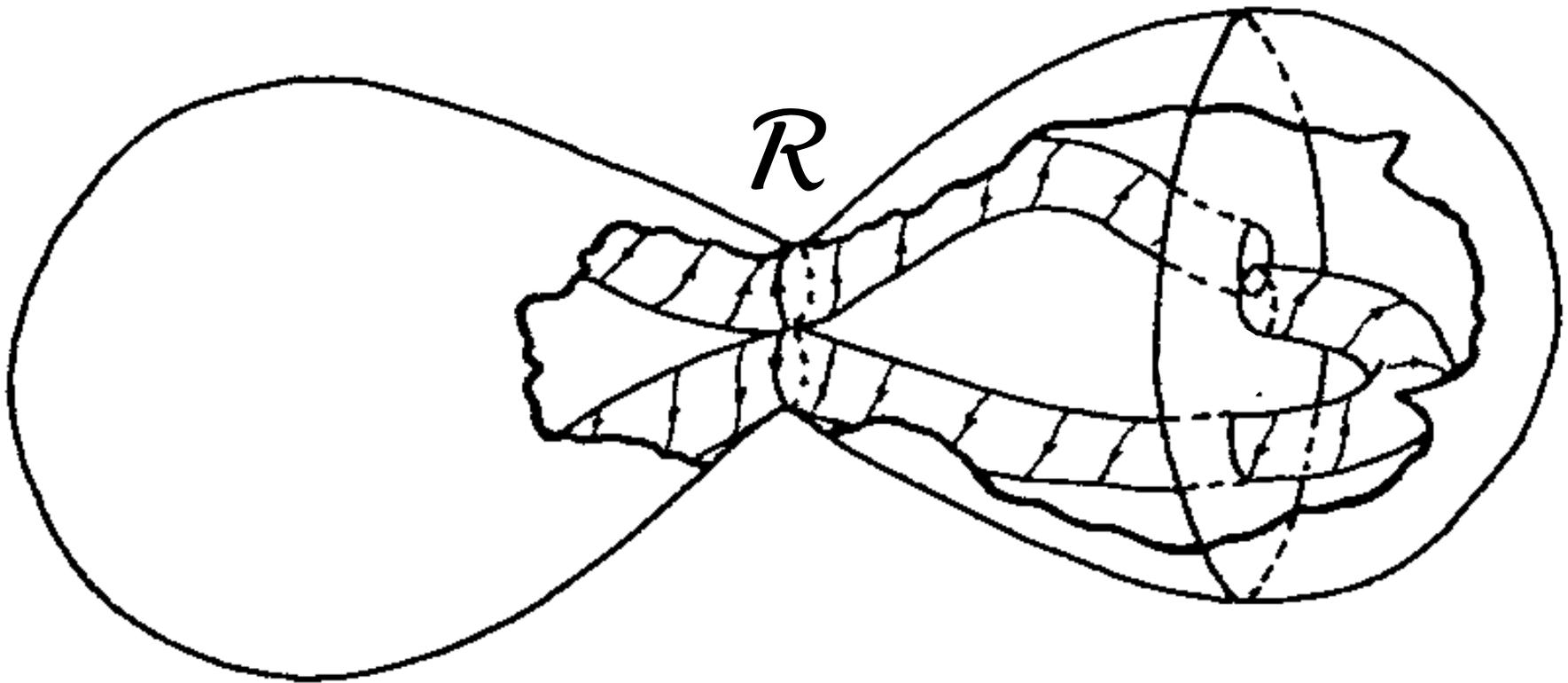
# Equilibrium Region: Summary

## ■ *The Flow in the Equilibrium Region*

- In summary, the phase space in the equilibrium region can be partitioned into four categories of distinctly different kinds of motion:
  - (1) periodic orbits, a.k.a., Lyapunov orbits
  - (2) asymptotic orbits, i.e., invariant stable and unstable cylindrical manifolds (henceforth called **tubes**)
  - (3) transit orbits, moving from one realm to another
  - (4) non-transit orbits, returning to their original realm
- These categories help us understand the connectivity of the global phase space

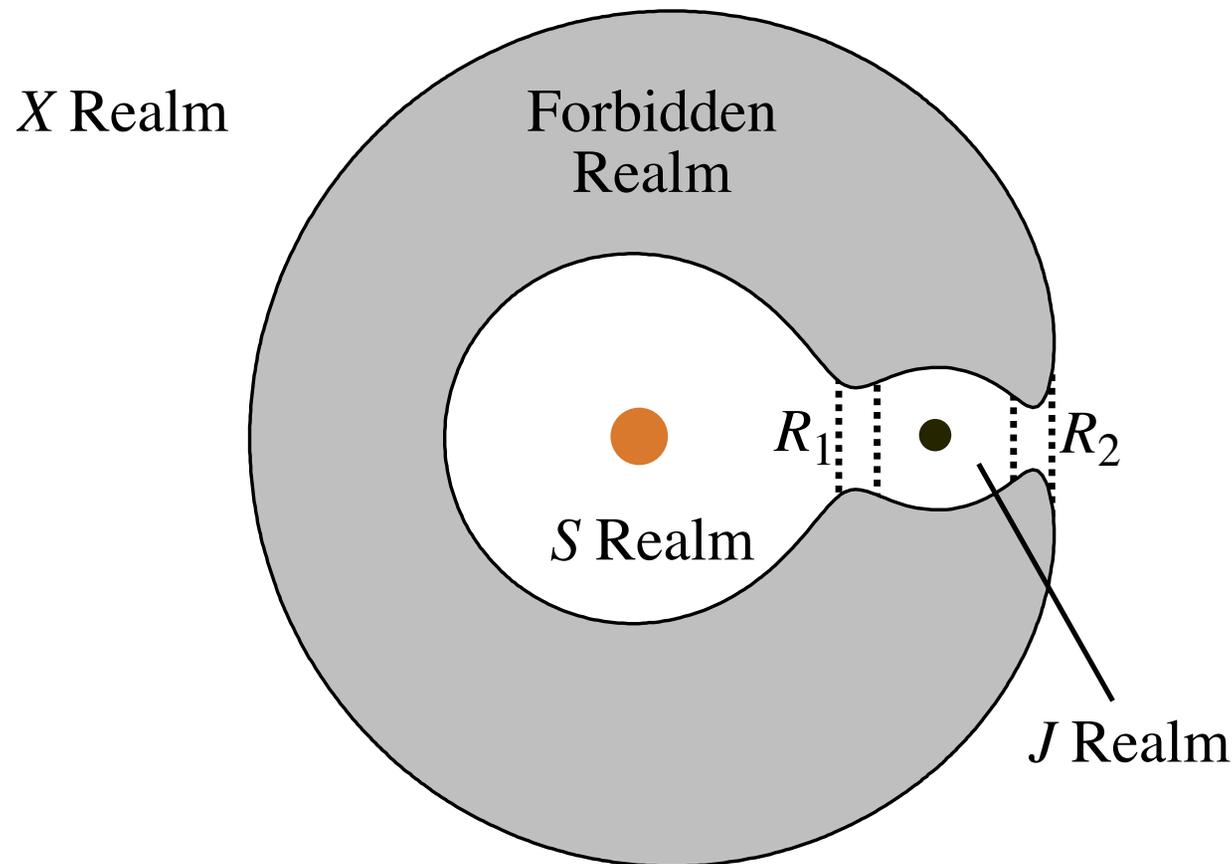
# Tube Dynamics

- All motion between realms connected by equilibrium neck regions  $\mathcal{R}$  must occur through the interior of the cylindrical stable and unstable manifold **tubes**



# Tube Dynamics: Itineraries

- We can find/construct an orbit with any **itinerary**, e.g.,  $(\dots, J, X, J, S, J, \dots)$ , where  $X$ ,  $J$  and  $S$  denote the different realms (symbolic dynamics)

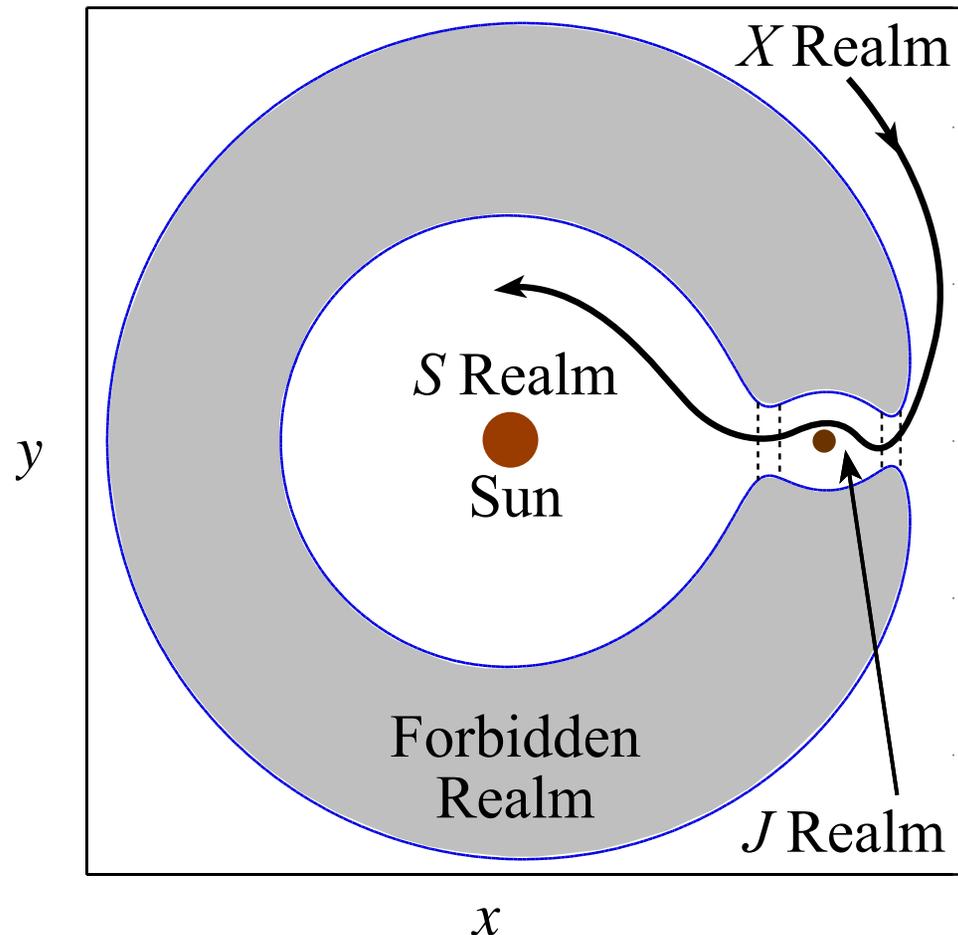


# Construction of Trajectories

- Systematic construction of trajectories with desired itineraries – trajectories which use **no fuel**.
  - by linking tubes in the right order → **tube hopping**

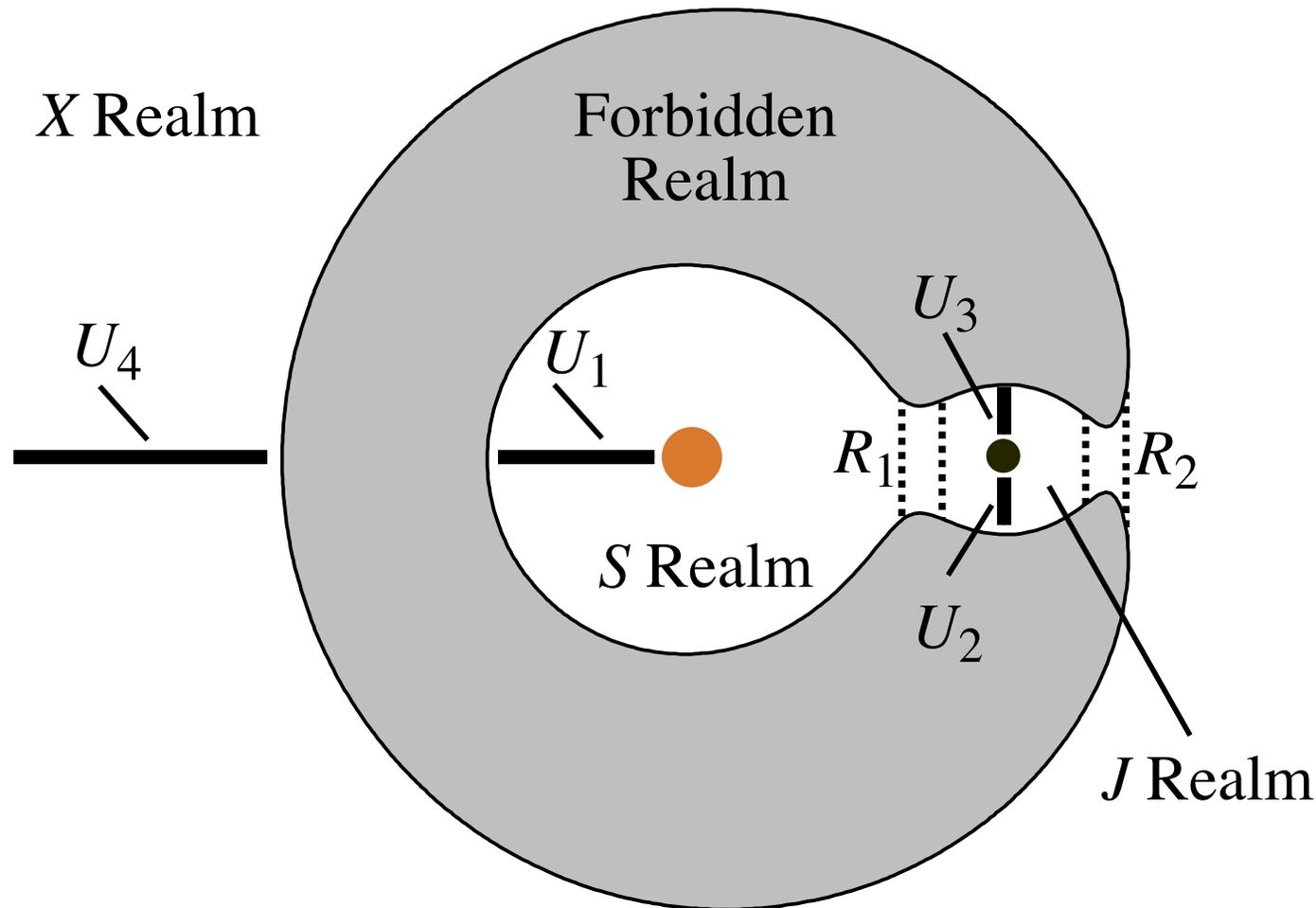
# Construction of Trajectories

- *Ex. Trajectory with Itinerary  $(X, J, S)$* 
  - search for an initial condition with this itinerary



# Construction of Trajectories

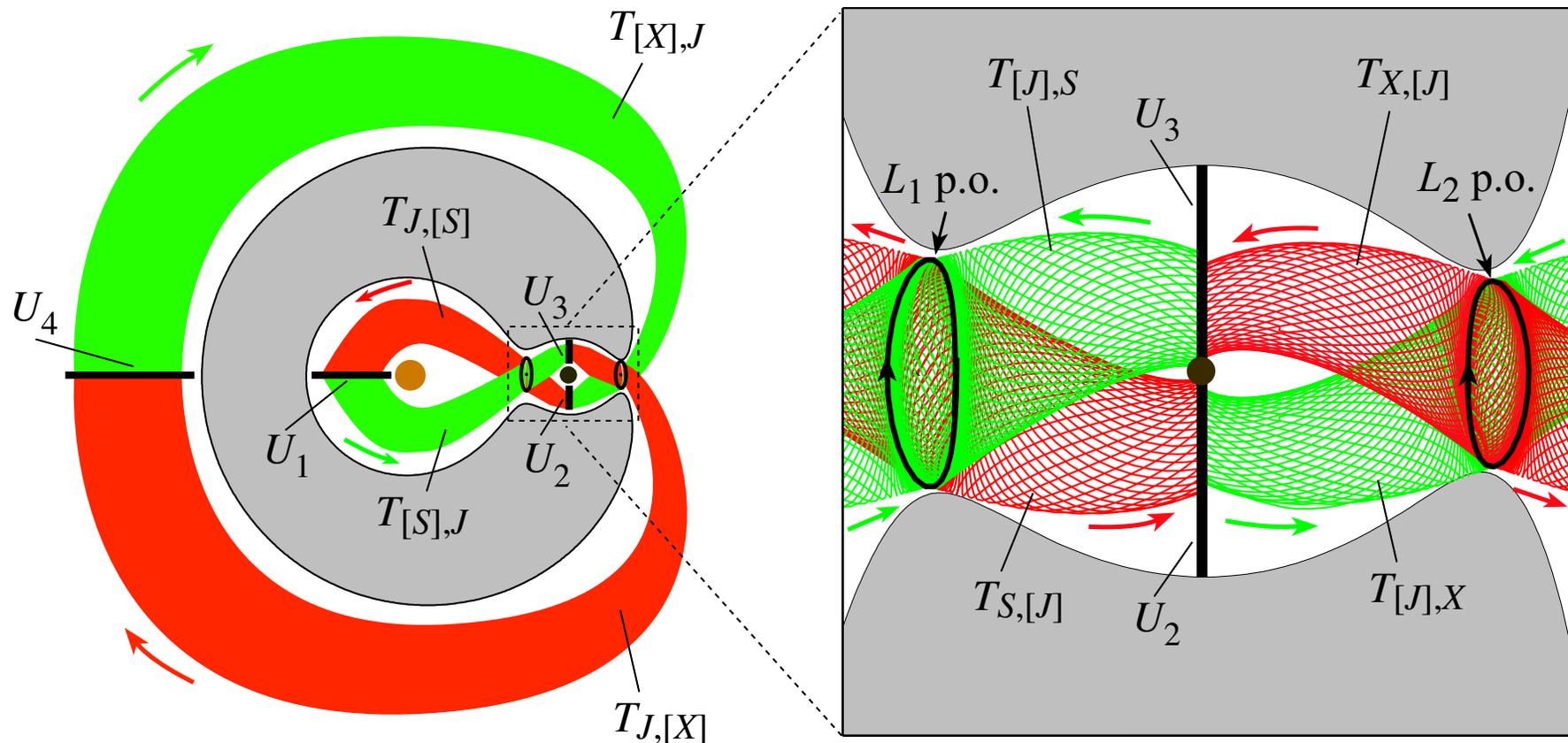
- seek area on 2D Poincaré section corresponding to  $(X, J, S)$  itinerary region; an “itinerarea”



The location of four Poincaré sections  $U_i$

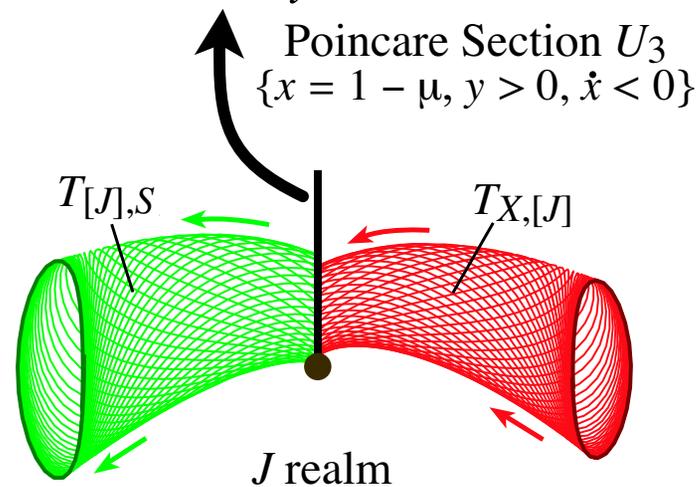
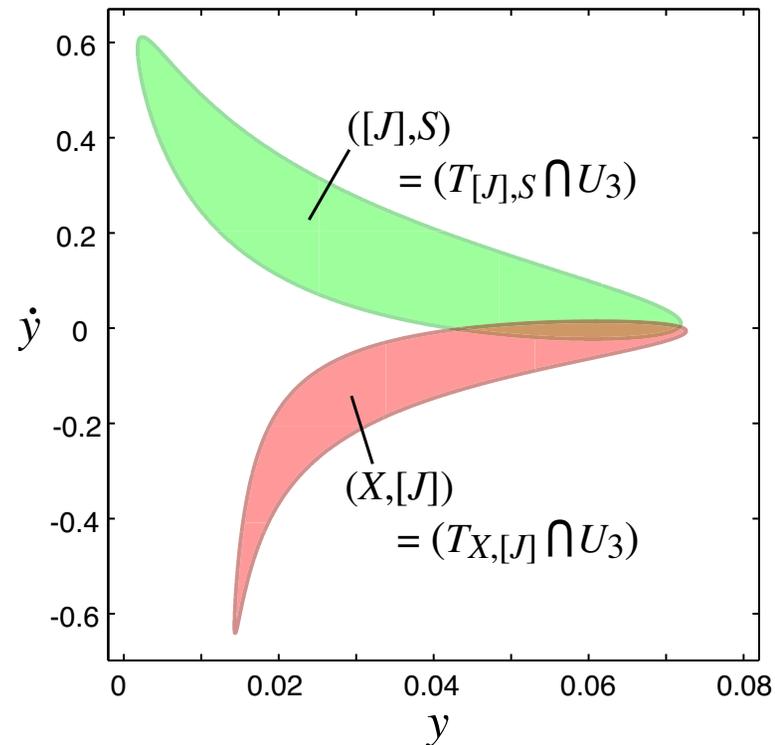
# Construction of Trajectories

- $T_{[X],J}$  is the solid tube of trajectories currently in the  $X$  realm and heading toward the  $J$  realm
  - Let's seek itinerarea  $(X, [J], S)$



How the tubes connect the  $U_i$

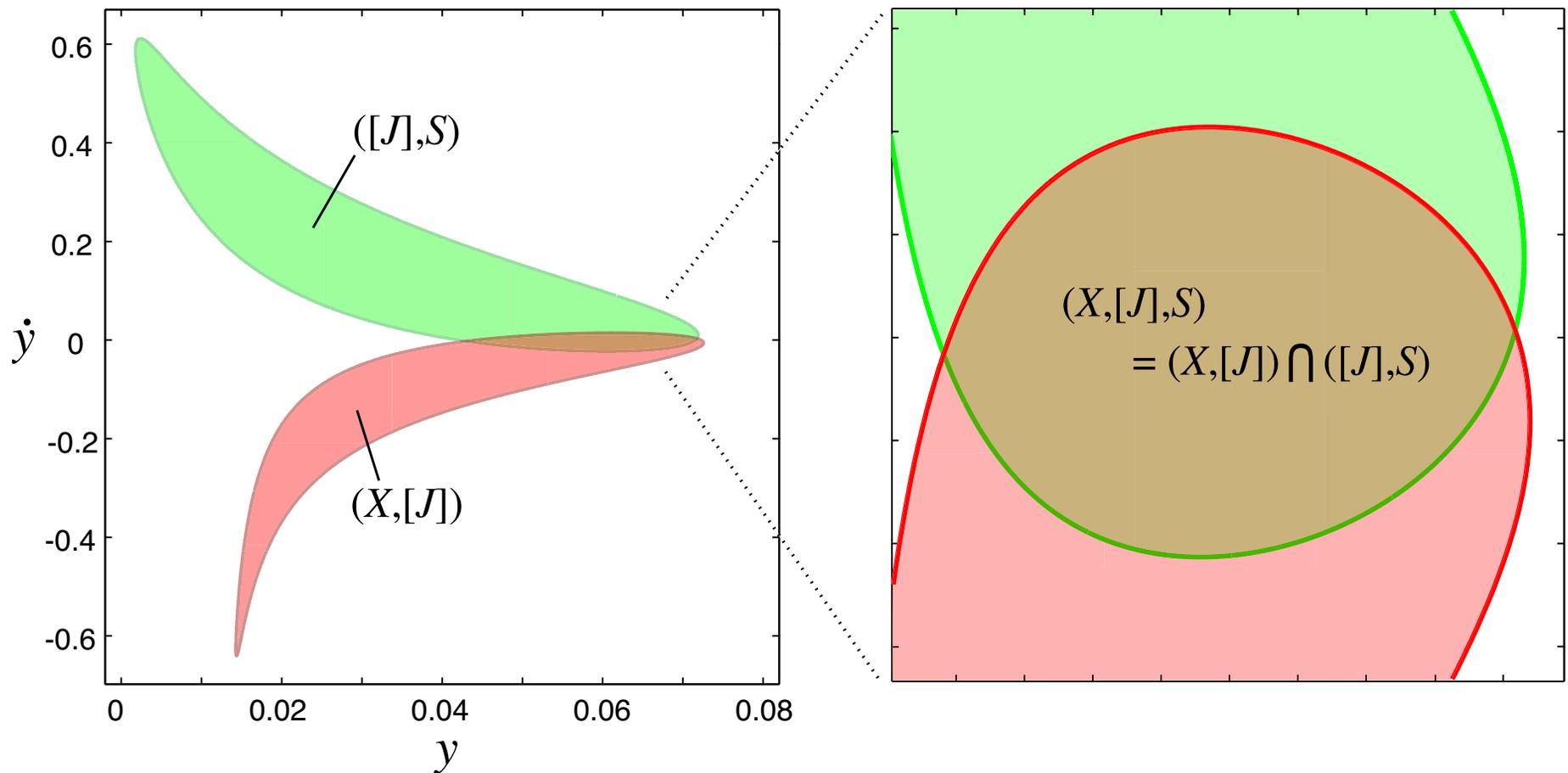
# Construction of Trajectories



# Construction of Trajectories

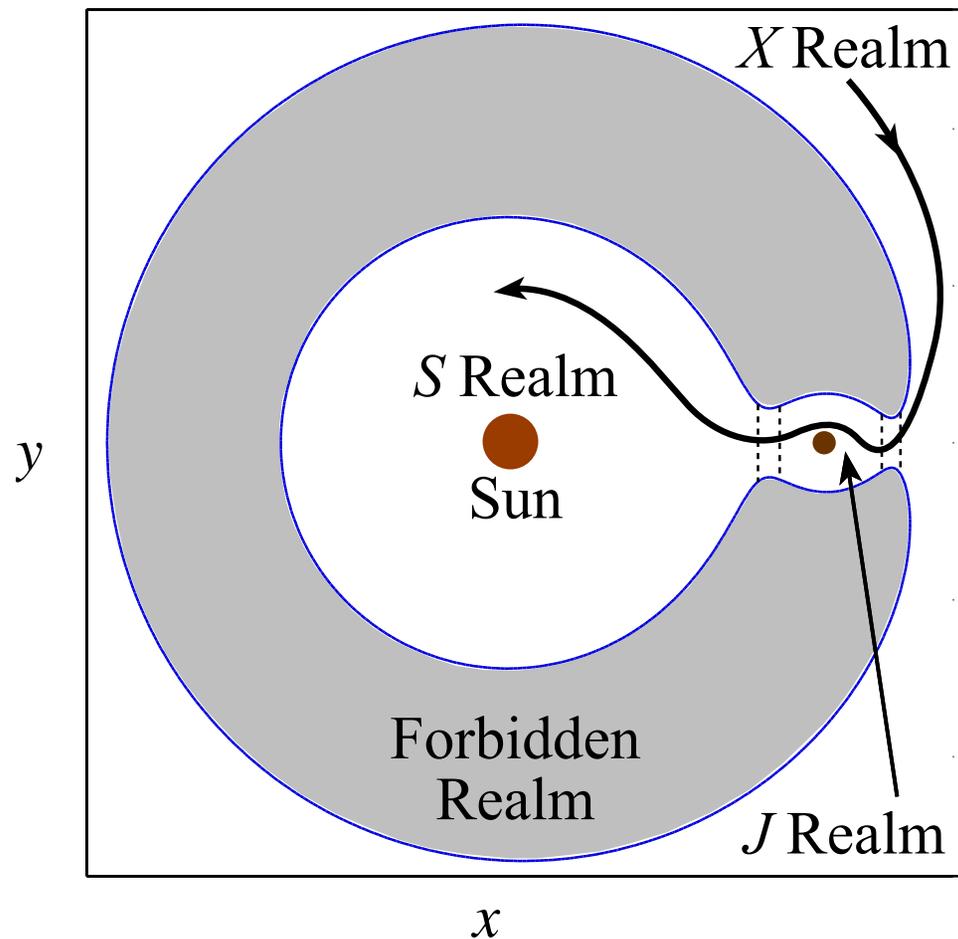
■ *An itinerarea with label  $(X, [J], S)$*

□ Denote the intersection  $(X, [J]) \cap ([J], S)$  by  $(X, [J], S)$



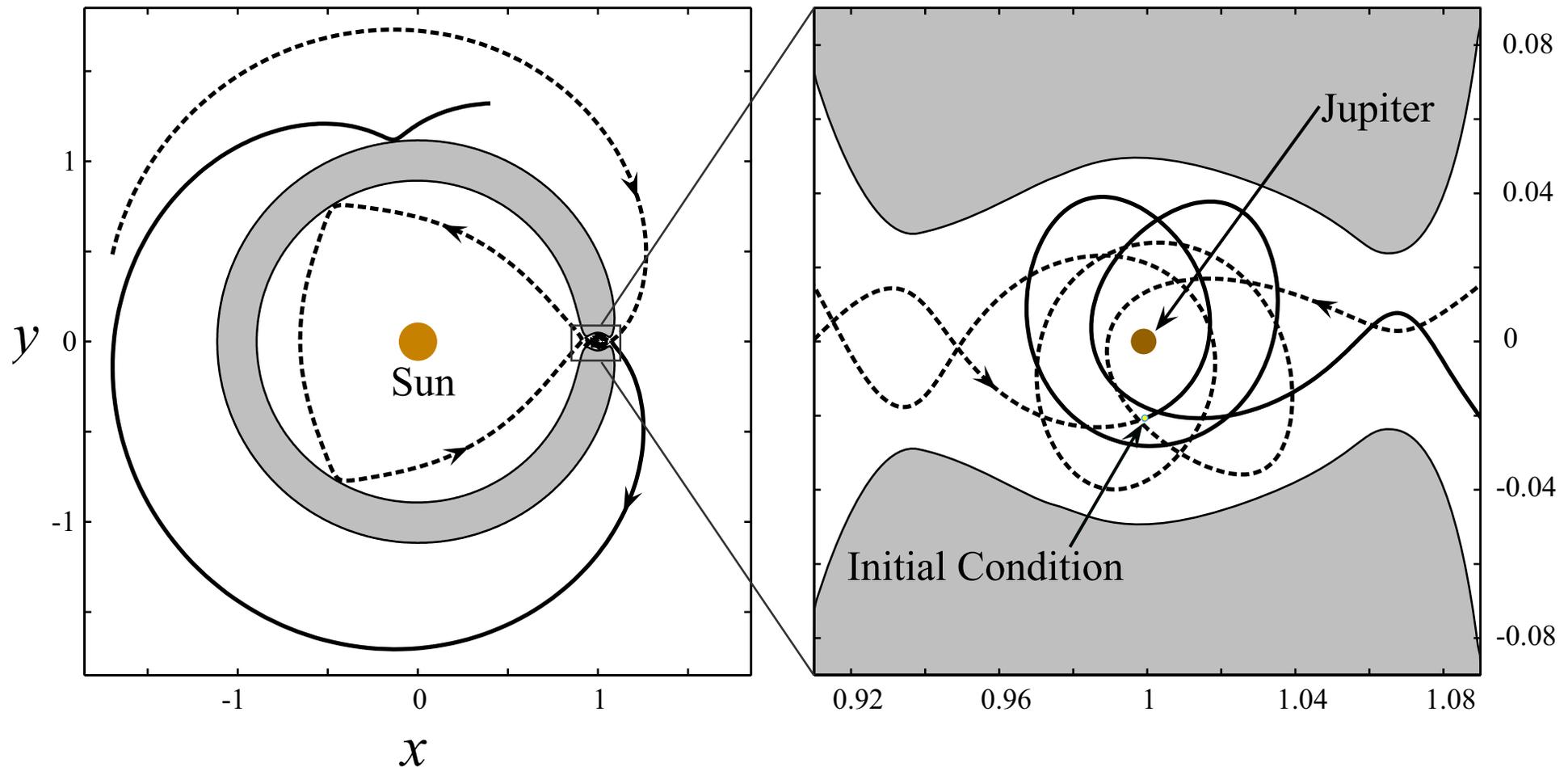
# Construction of Trajectories

- Forward and backward numerical integration of any initial condition within the itinerarea yields a trajectory with the desired itinerary



# Construction of Trajectories

- Trajectories with longer itineraries can be produced
  - e.g.,  $(X, J, S, J, X)$



# Restricted 4-Body Problem

- Solutions to the restricted 4-body problem can be built up from solutions to the rest. 3-body problem
- One system of particular interest is a spacecraft in the Earth-Moon vicinity, with the Sun's perturbation
- Example mission: low energy transfer to the Moon

# Low Energy to the Moon

- Motivation: systematic construction of trajectories like the 1991 Hiten trajectory. This trajectory uses significantly less on-board fuel than an Apollo-like transfer using third body effects.
- The key is ballistic, or unpropelled, capture by the Moon
- Originally found via a trial-and-error approach, before tube dynamics in the system was known (Belbruno and Miller [1993])

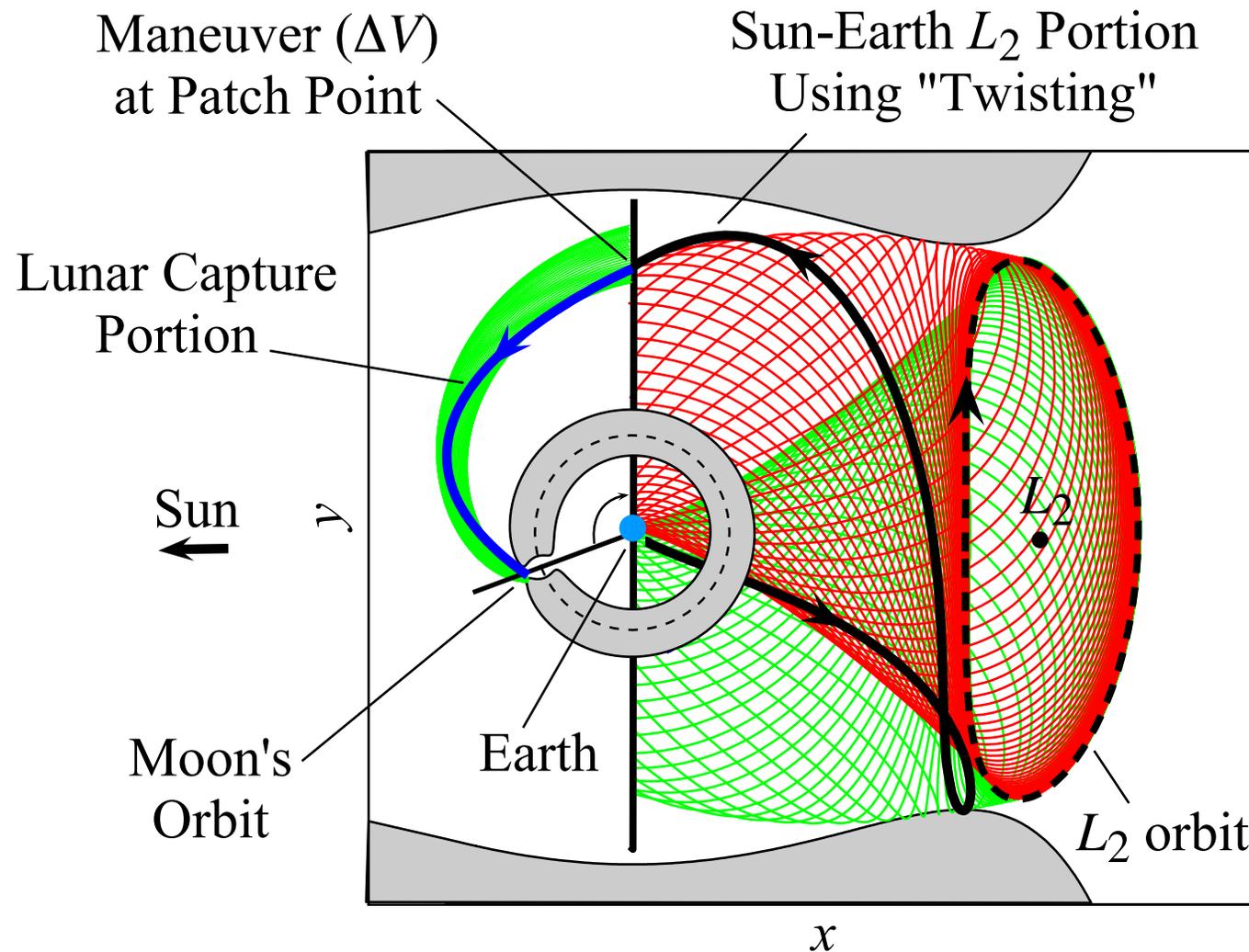
# Low Energy to the Moon

- Patched three-body approximation: we assume the S/C's trajectory can be divided into two portions of rest. 3-body problem solutions



# Low Energy to the Moon

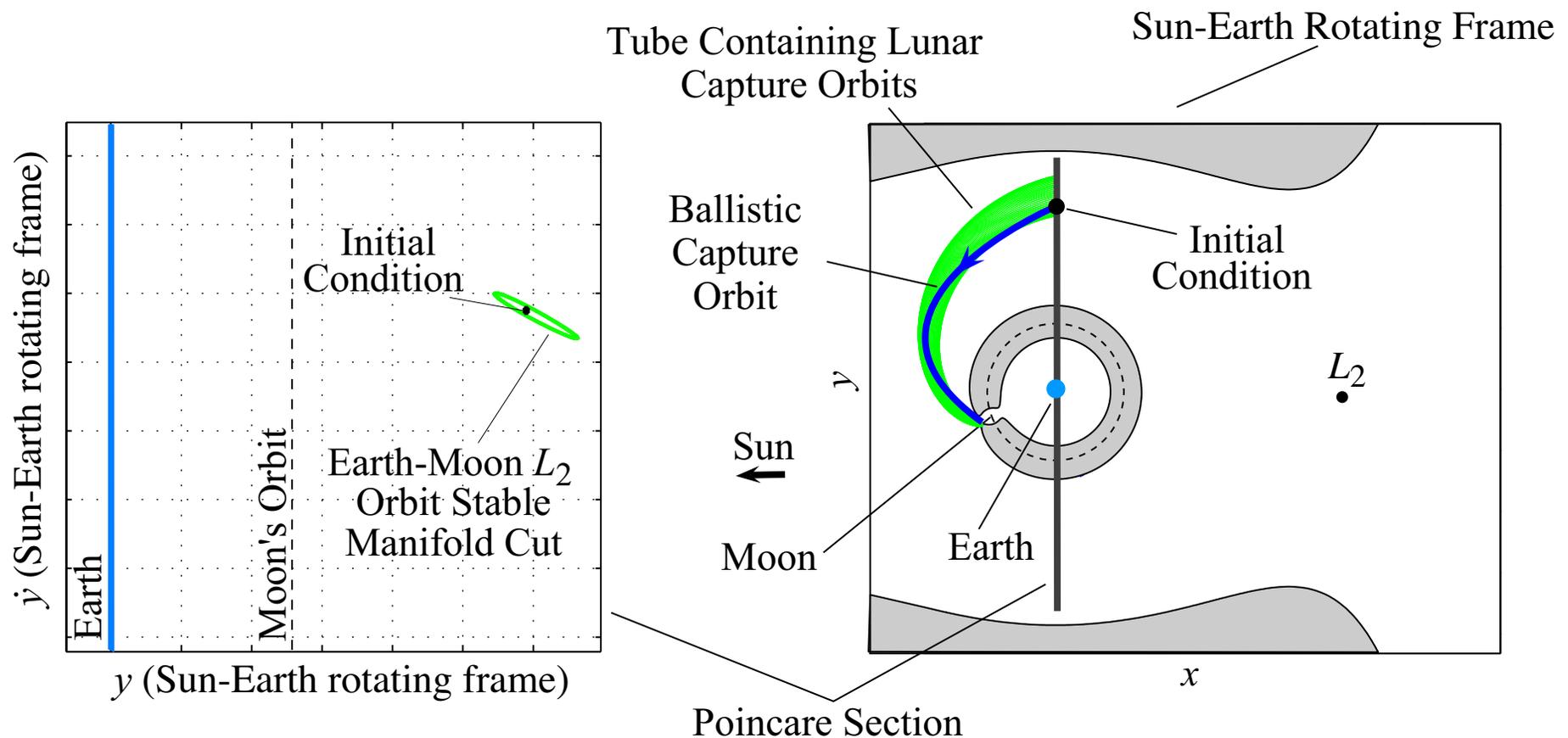
- Consider the intersection of tubes in these two systems (if any exists) on a Poincaré section



# Low Energy to the Moon

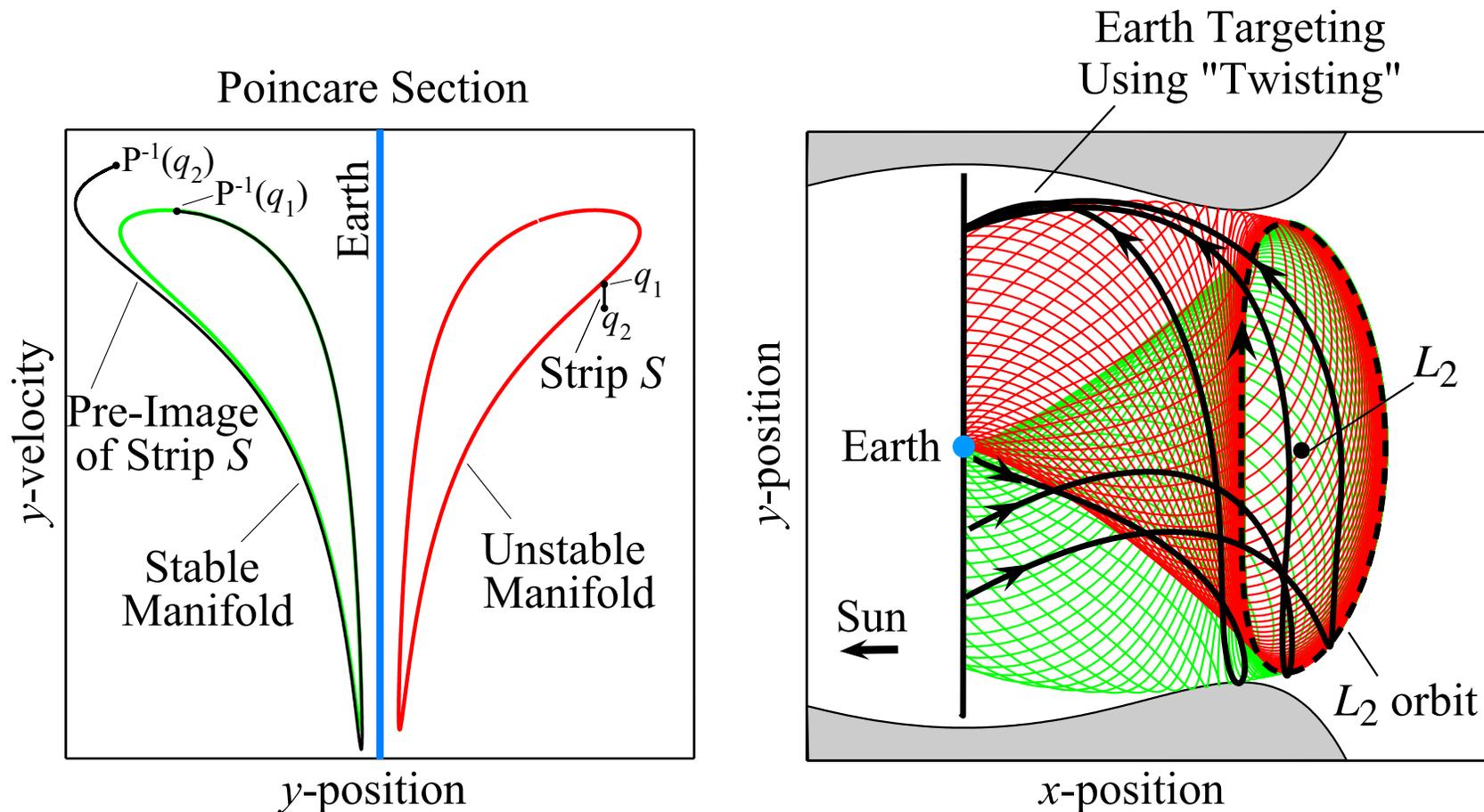
## ■ *Earth-Moon-S/C – Ballistic capture*

□ Find boundary of tube of lunar capture orbits



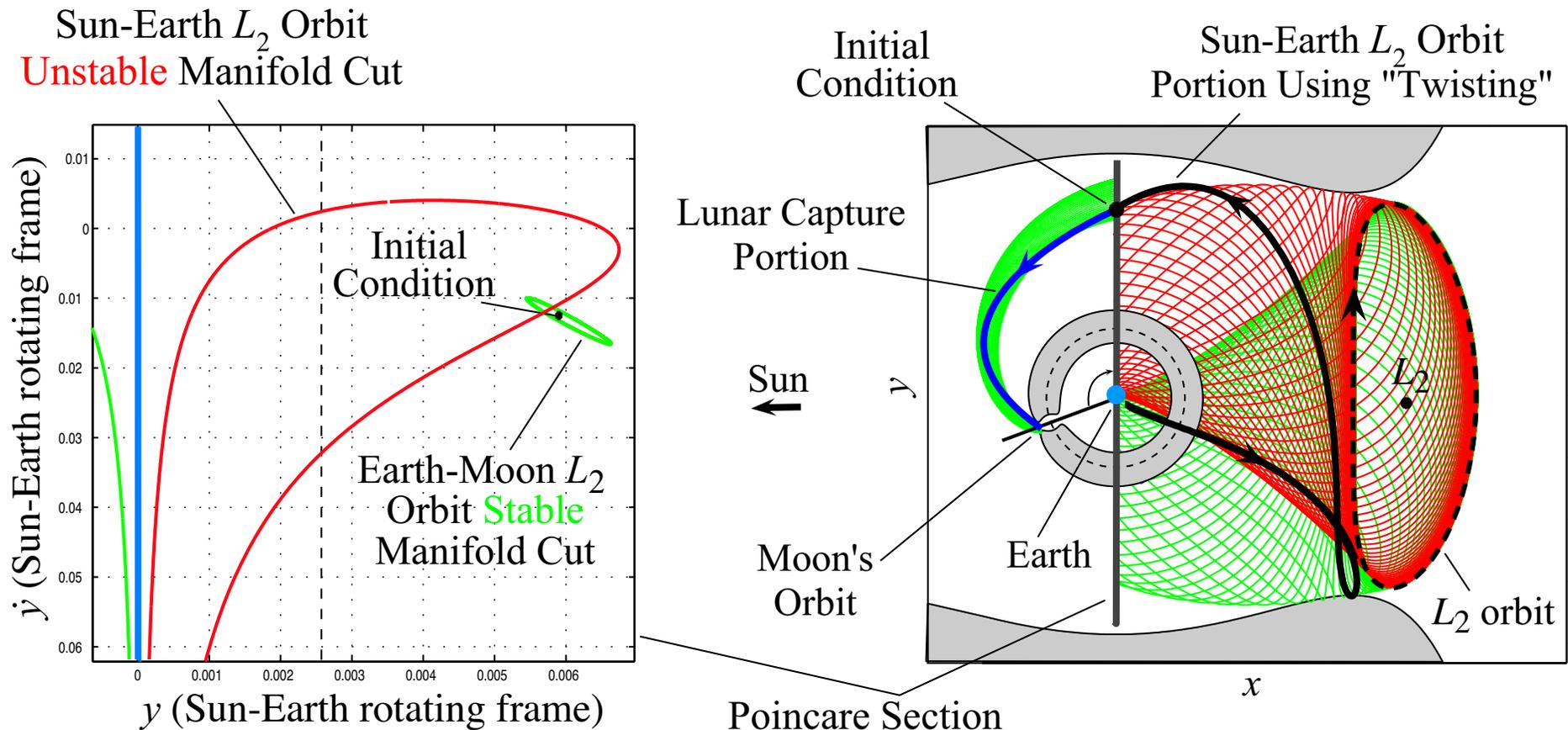
# Low Energy to the Moon

- *Sun-Earth-S/C – Twisting of orbits*
- Amount of twist depends sensitively on distance from tube boundary; use this to target Earth parking orbit



# Low Energy to the Moon

- Integrate initial conditions forward and backward to generate desired trajectory, allowing for velocity discontinuity (maneuver of size  $\Delta V$  to “tube hop”)

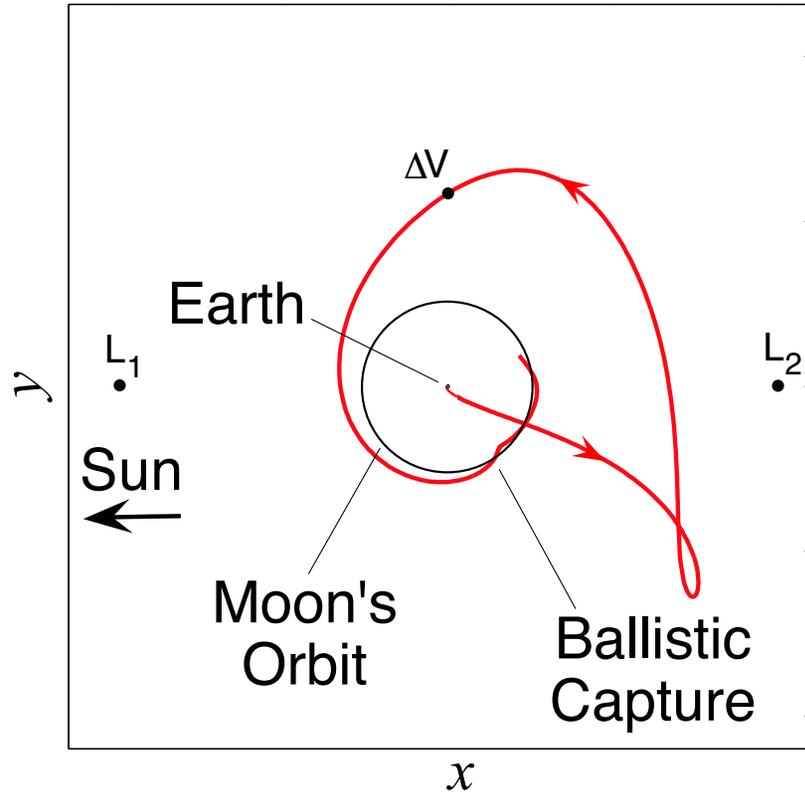


# Low Energy to the Moon

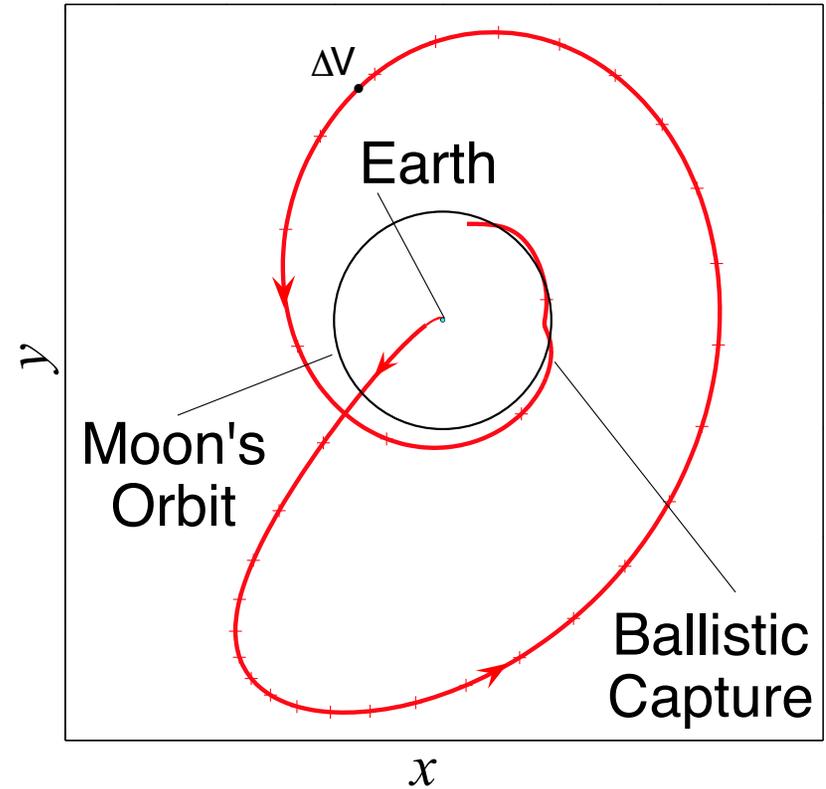
- Verification: use these initial conditions as an initial guess in restricted 4-body model, the bicircular model
- Small velocity discontinuity at patch point:  
 $\Delta V = 34 \text{ m/s}$
- Uses 20% less on-board fuel than an Apollo-like transfer  
– the trade-off is a longer flight time

# Low Energy to the Moon

Sun-Earth Rotating Frame



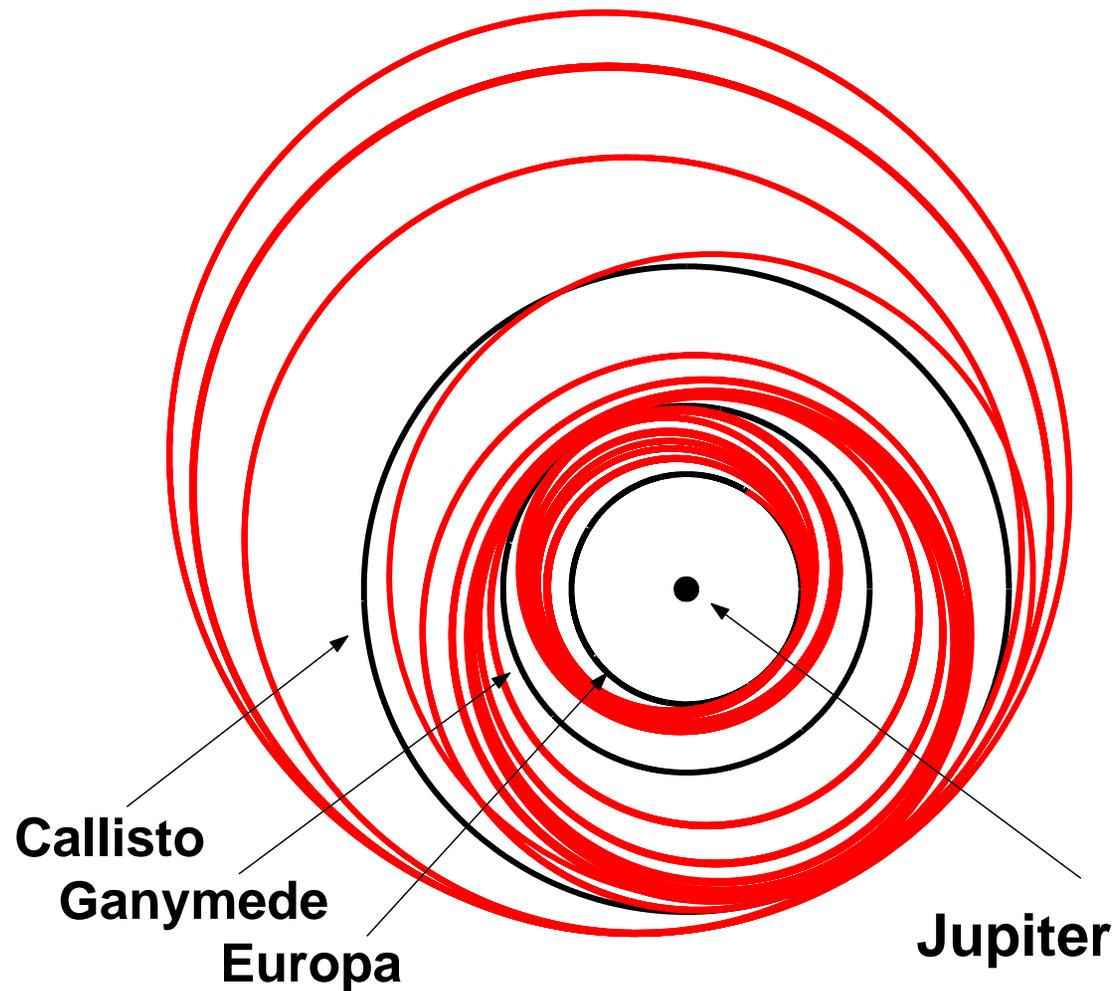
Inertial Frame



# Current and Ongoing Work

- Multi-moon orbiter,  $\Delta V = 22 \text{ m/s (!!!)} \Rightarrow \text{JIMO}$

## Low Energy Tour of Jupiter's Moons Seen in Jovicentric Inertial Frame



# Current and Ongoing Work

## ■ *Ongoing challenges*

- Make an automated algorithm for trajectory generation
- Consider model uncertainty, unmodeled dynamics, noise
- Trajectory correction when errors occur
  - Re-targeting of original (nominal) trajectory vs. re-generation of nominal trajectory
  - Trajectory correction work for *Genesis* is a first step

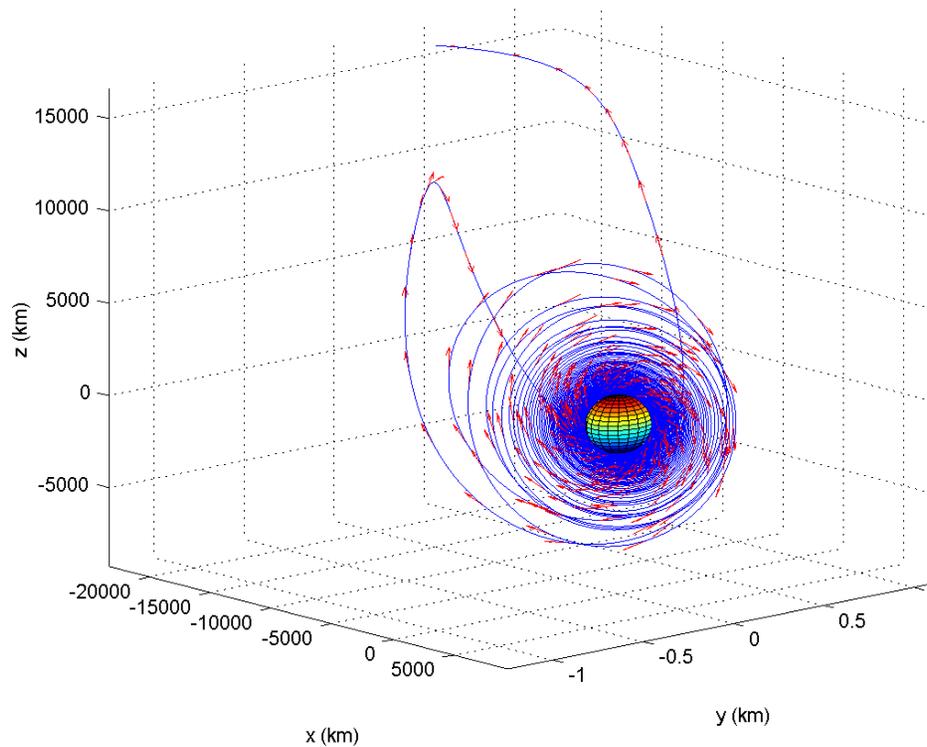
# Current and Ongoing Work

- Getting *Genesis* onto the destination orbit at the right time, while minimizing fuel consumption

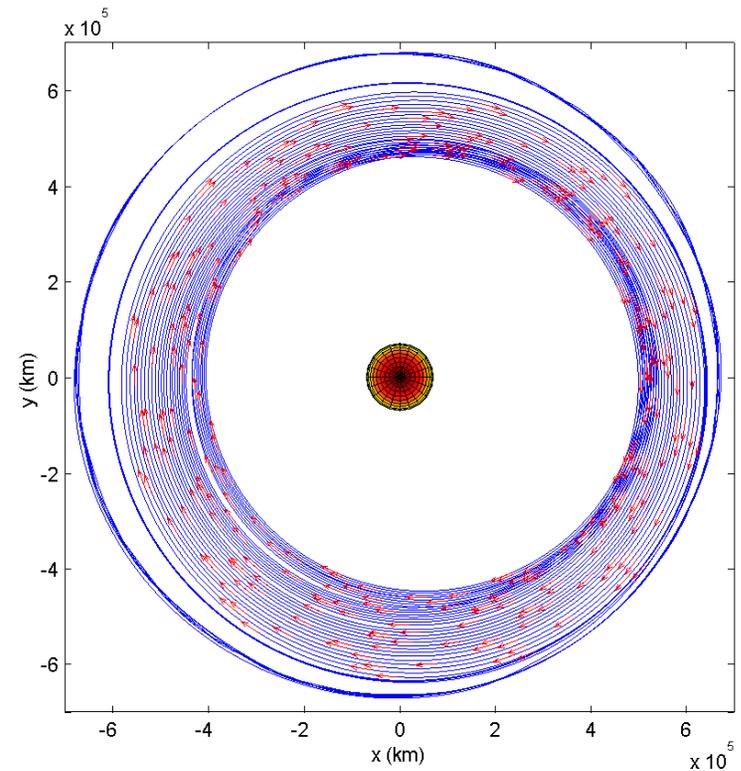
from Serban, Koon, Lo, Marsden, Petzold, Ross, and Wilson [2002]

# Current and Ongoing Work

- Incorporation of low-thrust



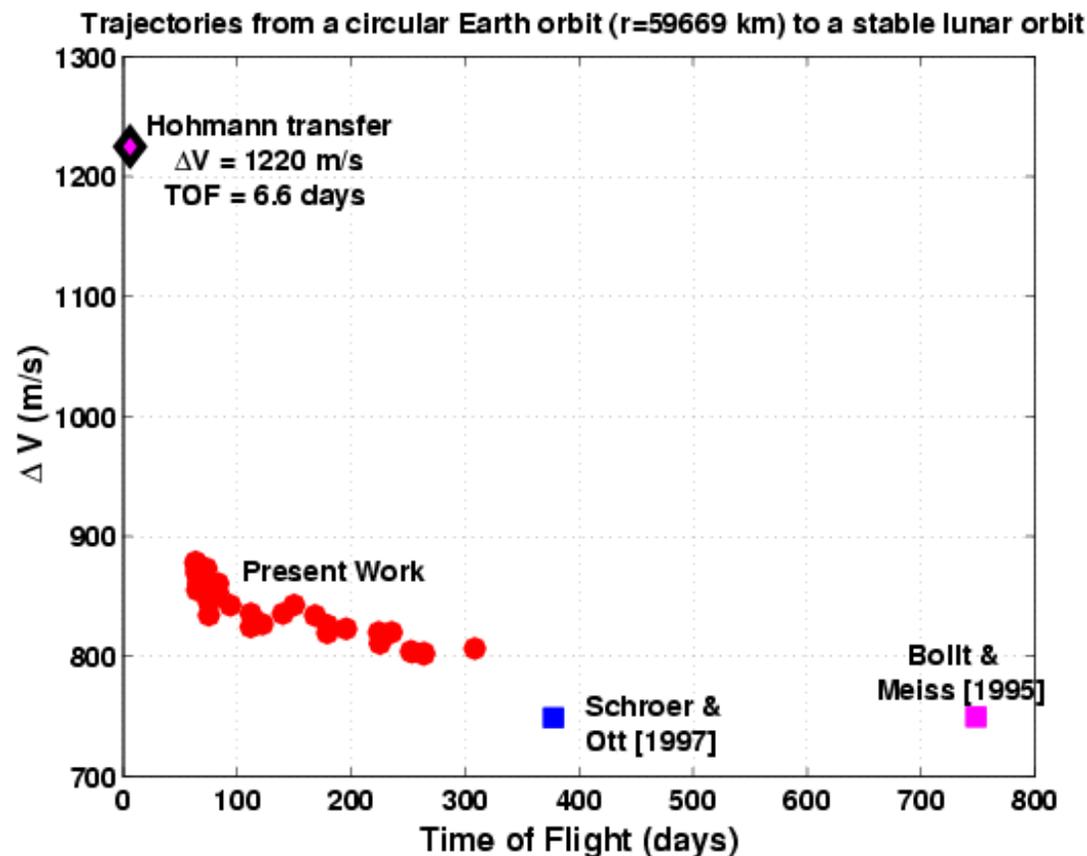
Spiral out from Europa



Europa to Io transfer

# Current and Ongoing Work

- Coordination with goals/constraints of real missions  
e.g., time at each moon, radiation dose, max. flight time
- Decrease flight time: evidence suggests large decrease in time for small increase in  $\Delta V$



# Current and Ongoing Work

- Spin-off: Results also apply to mathematically similar problems in chemistry and astrophysics
  - phase space transport
- Applications
  - chemical reaction rates
  - asteroid collision prediction

# Summary and Conclusions

- For certain energies of the planar circular rest. 3-body problem, the phase space can be divided into sets; three large realms and equilibrium regions connecting them
- We consider stable and unstable manifolds of p.o.'s in the equil. regions
- The manifolds have a cylindrical geometry and the physical property that all motion from one realm to another must pass through their interior
- The study of the cylindrical manifolds, tube dynamics, can be used to design spacecraft trajectories
- Tube dynamics applicable in other physical problems too